

Finding bases in linear categories using rewriting.

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Algebra Seminar, Ottawa

Outline

I. Rewriting theory

II. String rewriting

III. Rewriting in linear 2-categories

IV. Extension to rewriting modulo

I. Rewriting theory

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II. String rewriting

String rewriting

- ▶ **Example:** $X := \{a, b, c, d\}$ an alphabet and we consider the 5 rewriting rules:

$ab \rightarrow bc, \quad ada \rightarrow dc, \quad bc \rightarrow dab, \quad db \rightarrow c, \quad dcb \rightarrow acc.$

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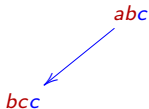
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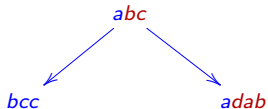
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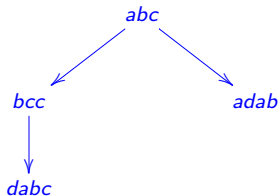
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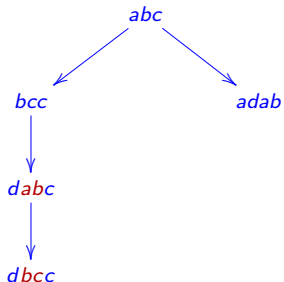
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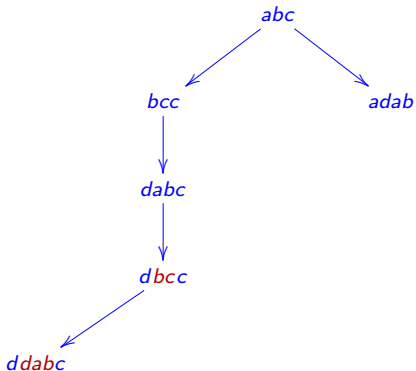
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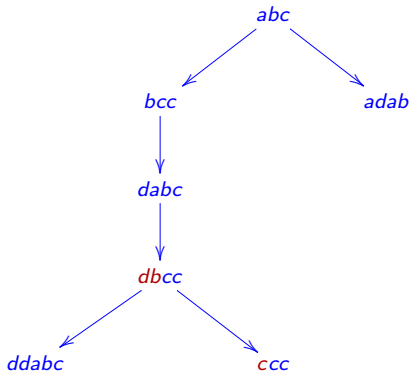
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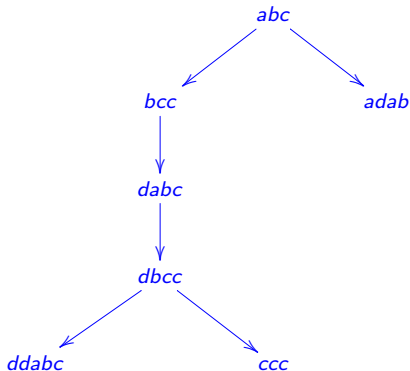
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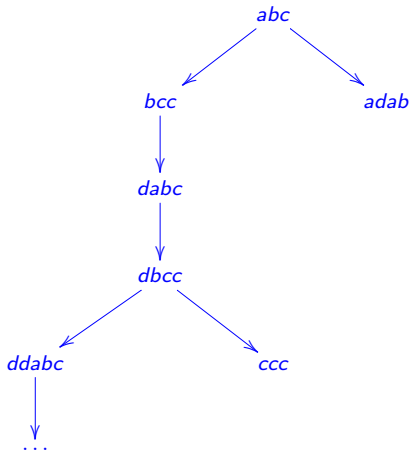
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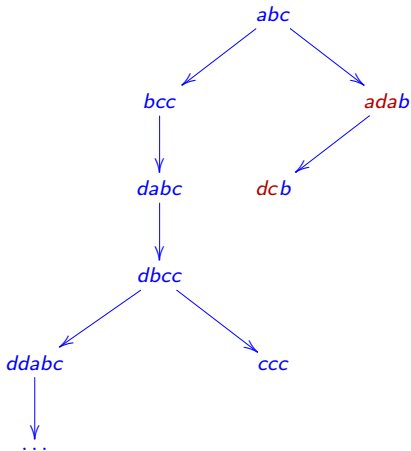
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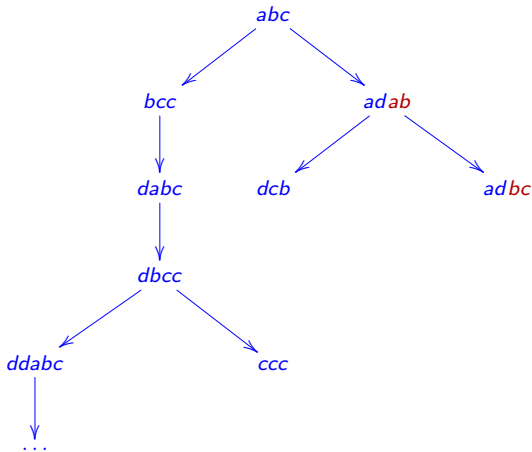
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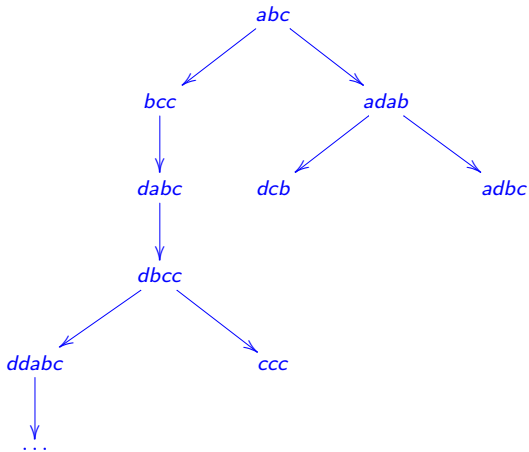
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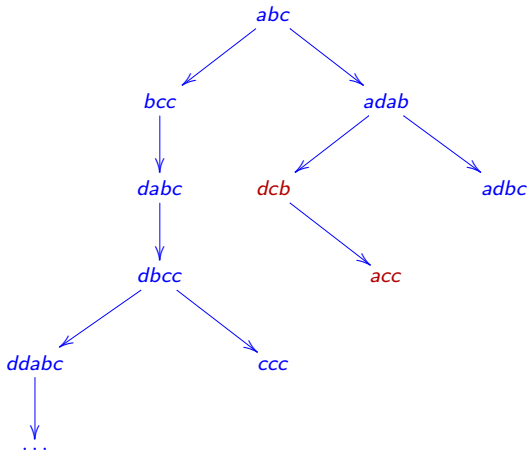
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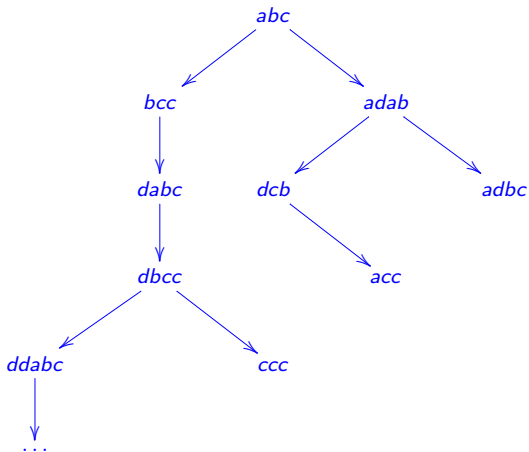
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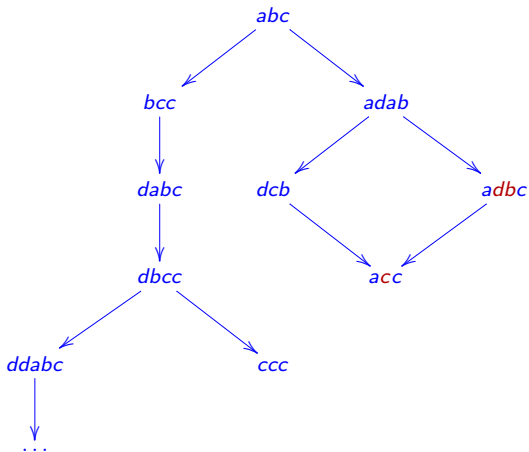
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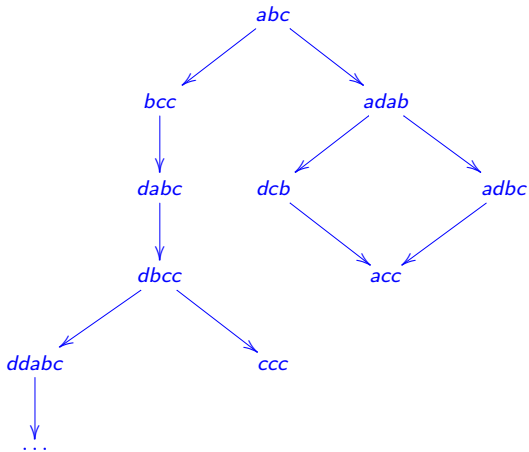
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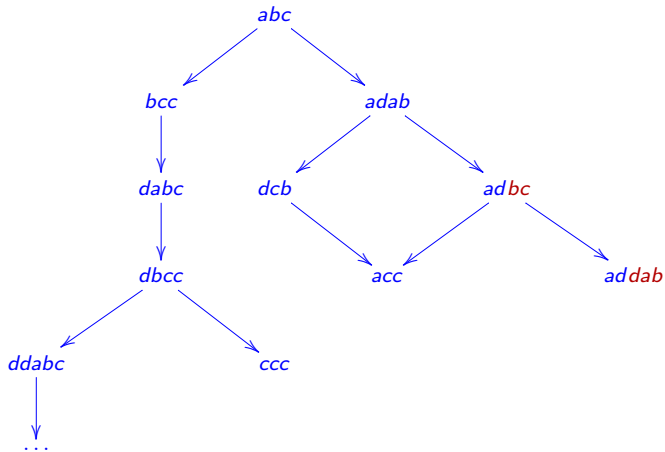
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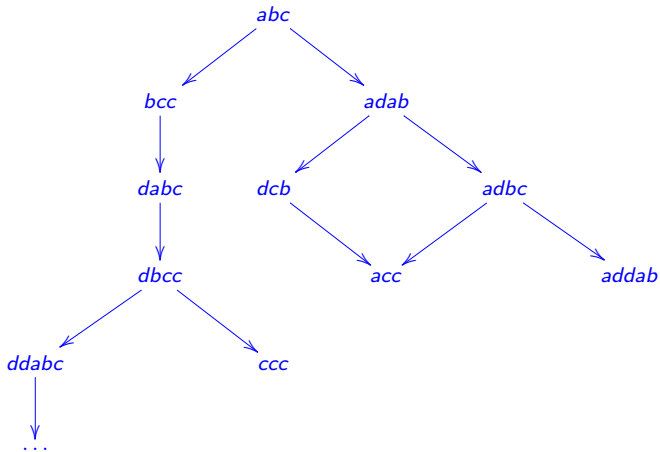
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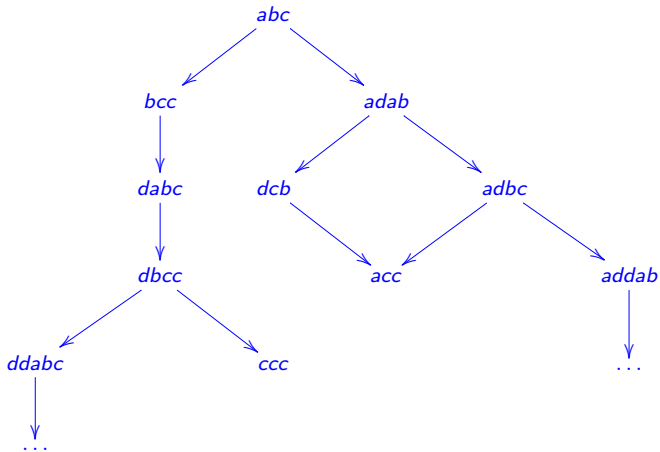
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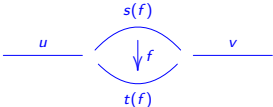
Normal forms and termination

- ▶ Let (X, R) be a string rewriting system and X^* the free monoid on X . A **rewriting step** of (X, R) is a reduction

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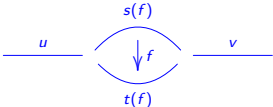
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The diagram illustrates a rewriting step. It consists of a central part where a string $s(f)$ is transformed into a string $t(f)$ via a function f . This transformation is represented by a downward-pointing arrow labeled f between two curved lines. The top curve is labeled $s(f)$ and the bottom curve is labeled $t(f)$. To the left of this central part is a horizontal line labeled u , and to the right is a horizontal line labeled v . The entire diagram represents the reduction $us(f)v \rightarrow ut(f)v$.

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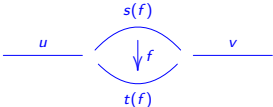
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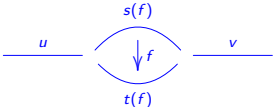
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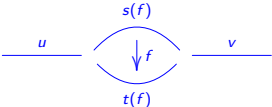
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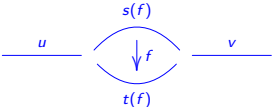
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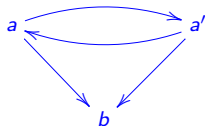
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- ▶ **Example:**



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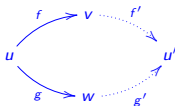
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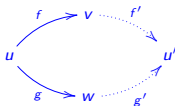


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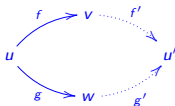


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- ▶ A (local) branching is **confluent** if there exists rewriting paths that close the diagram.
- ▶ **Theorem (Newman Lemma)**: If (X, R) is terminating, local confluence is equivalent to confluence.

Confluence and branchings

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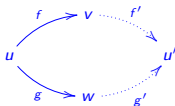


where f and g are rewriting paths (resp. rewriting steps) and u, v, w are in X^* .

- ▶ A (local) branching is **confluent** if there exists rewriting paths that close the diagram.
- ▶ **Theorem (Newman Lemma)**: If (X, R) is terminating, local confluence is equivalent to confluence.
- ▶ Local branchings are divided into 3 families:

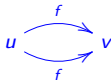
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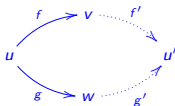
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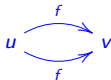
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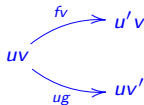


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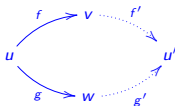
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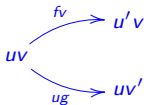


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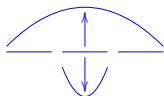
Overlappings

Critical branchings

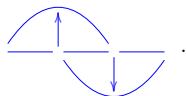
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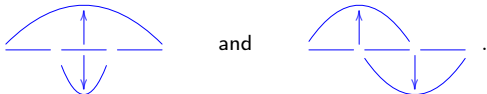


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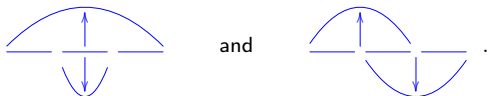
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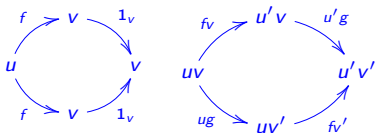
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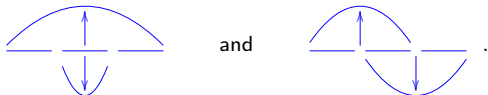


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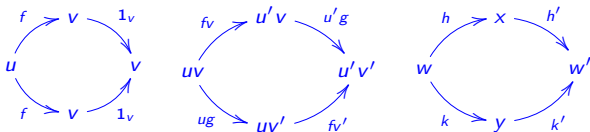


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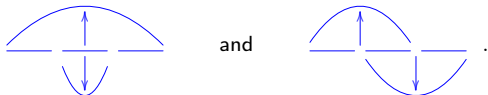


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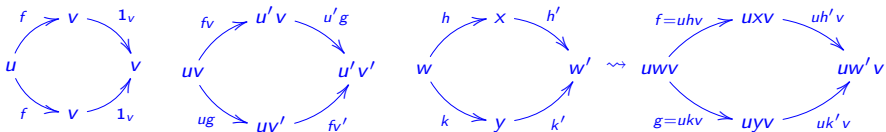


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The word problem

- ▶ Consider M a monoid presented by generators X and relations R^{n-o} , i.e.

$$M \simeq X / \equiv_{R^{n-o}},$$

that is $u = v$ in M iff $\bar{u} \stackrel{R}{\leftrightarrow} \bar{v}$ in X^* for representatives \bar{u} and \bar{v} of u and v in X^* .

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Input : $u, v \in X^*$

Result: Boolean $u = v$ in M ?

Reduce u in \hat{u} ;

Reduce v in \hat{v} ;

if $\hat{u} = \hat{v}$ **then**

 | True

else

 | False

end

Examples

Example. $X = \{a\}$ and $R = \{aa \xrightarrow{\alpha} 1\}$.

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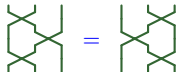
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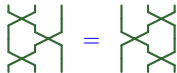
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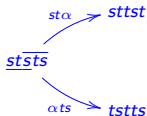
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- ▶ Termination: lexicographic order on $s > t$.
- ▶ One non-confluent critical branching.



Knuth-Bendix completion

Input : (X, R) terminating + termination order $>$

$\mathcal{KB}(R) := R$;

$\mathcal{C}_b := \{ \text{critical branchings} \}$;

while $\mathcal{C}_b \neq \emptyset$ **do**

 Pick $(f : u \rightarrow v, g : u \rightarrow w)$ in \mathcal{C}_b ;

$\mathcal{C}_b := \mathcal{C}_b \setminus \{(f, g)\}$;

 Reduce v in \hat{v} wrt R ;

 Reduce w in \hat{w} wrt R ;

if $\hat{v} \neq \hat{w}$ **then**

if $\hat{v} > \hat{w}$ **then**

$\mathcal{KB}(R) := \mathcal{KB}(R) \cup \{\alpha : \hat{v} \rightarrow \hat{w}\}$

else

$\mathcal{KB}(R) := \mathcal{KB}(R) \cup \{\alpha : \hat{w} \rightarrow \hat{v}\}$

end

else

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$\mathcal{C}_b := \mathcal{C}_b \cup \{ \text{critical branchings generated by } \alpha \}$

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Knuth-Bendix completion

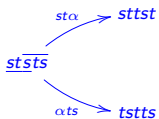
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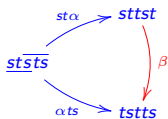
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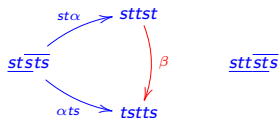
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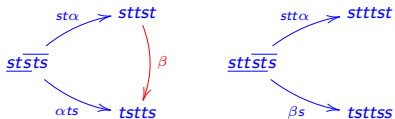
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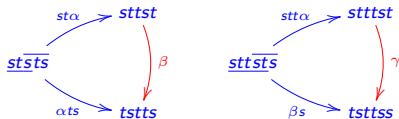
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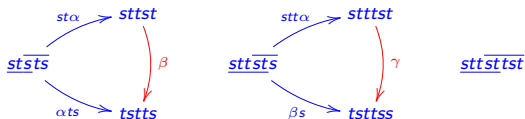
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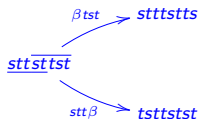
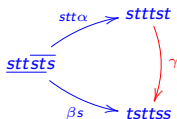
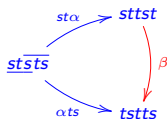
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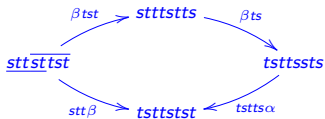
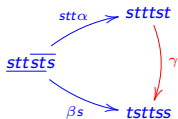
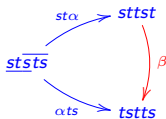
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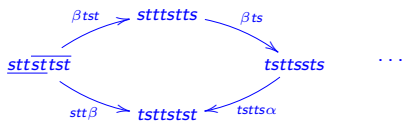
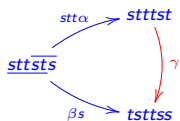
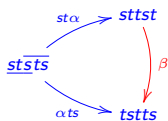
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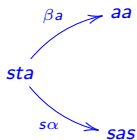
- ▶ **Kapur & Narendran**, '85: The monoid B_3^+ does not admit a finite convergent presentation with 2 generators.

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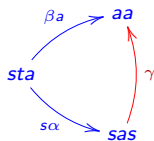
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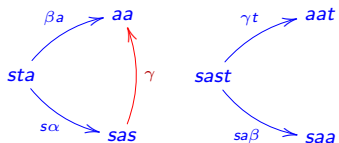
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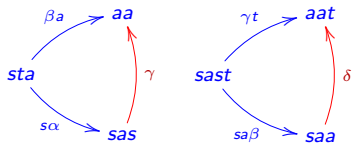
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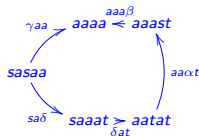
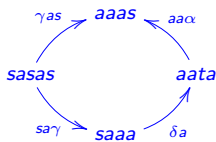
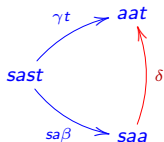
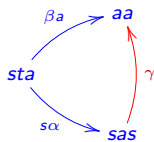
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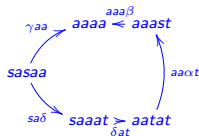
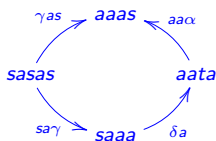
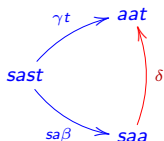
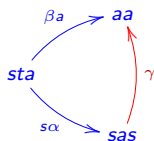
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- The string rewriting system $\langle s, t, a \mid ta \xrightarrow{\alpha} as, st \xrightarrow{\beta} a, sas \xrightarrow{\gamma} aa, saa \xrightarrow{\delta} aat \rangle$ is a convergent presentation of B_3^+ .

III. Rewriting in linear 2-categories

Diagrammatic algebras

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The diagram shows an equality between two configurations of strands. On the left, two crossings are adjacent: the first crossing is between strands i and $i+1$, and the second is between strands j and $j+1$. On the right, the crossings are swapped: the first crossing is between strands j and $j+1$, and the second is between strands i and $i+1$. The strands are labeled $1, i, i+1, j, j+1, n$ at the bottom.

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- ▶ We realize these algebras as endomorphism spaces of a linear 2-category.

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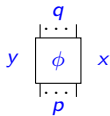
objects of $\mathcal{A} \leftrightarrow$ 1-cells of \mathcal{C}

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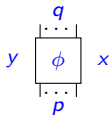
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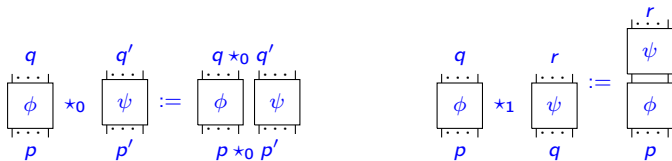


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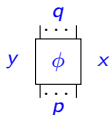


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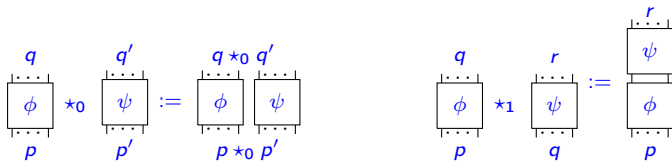


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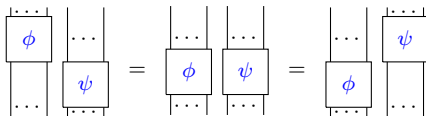
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Presentations by linear $(3, 2)$ -polygraphs

- ▶ Polygraphs (Burrone - Street) are presentations by generators and relations of higher-dimensional globular strict categories.
 - ▶ Linear 2-categories are presented by rewriting systems called linear $(3, 2)$ -polygraphs.

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The diagram illustrates the nil Hecke algebra relations using linear $(3, 2)$ -polygraphs. It shows four diagrams connected by equals signs, representing the equivalence of different strand configurations:

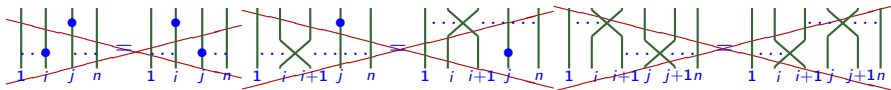
- Diagram 1: Four vertical strands labeled $1, i, j, n$. A blue dot is on strand j at the top, and another blue dot is on strand i at the bottom.
- Diagram 2: Four vertical strands labeled $1, i, j, n$. A blue dot is on strand i at the top, and another blue dot is on strand j at the bottom.
- Diagram 3: Four vertical strands labeled $1, i, i+1, j, n$. A blue dot is on strand j at the top. There is a crossing between strands i and $i+1$.
- Diagram 4: Four vertical strands labeled $1, i, i+1, j, n$. A blue dot is on strand j at the bottom. There is a crossing between strands i and $i+1$.

The sequence of diagrams shows the following transformations:

- Diagram 1 is equal to Diagram 2 (strand i and j swap positions).
- Diagram 2 is equal to Diagram 3 (strand i and $i+1$ cross).
- Diagram 3 is equal to Diagram 4 (strand j moves from top to bottom).
- Diagram 4 is equal to Diagram 5 (strand i and $i+1$ cross again).
- Diagram 5 is equal to Diagram 6 (strand i and j cross).

Presentations by linear $(3, 2)$ -polygraphs

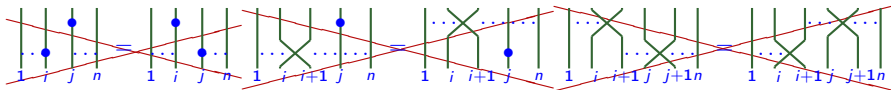
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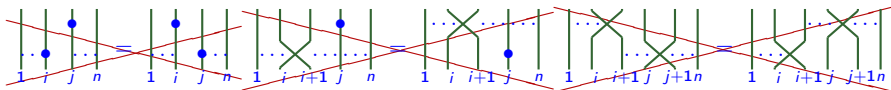
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$$\begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} = 0, \quad \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} = \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array}$$

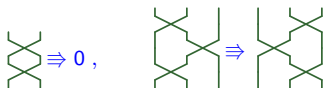
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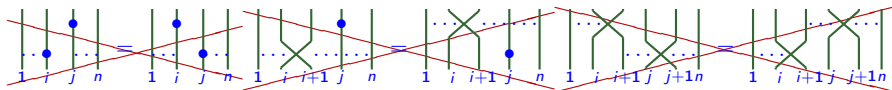


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- It is **left-monomial**, that is each source of a 3-cell is a monomial.

Linear rewriting

- ▶ Restriction of the set of rewritings due to the linear context:

Linear rewriting

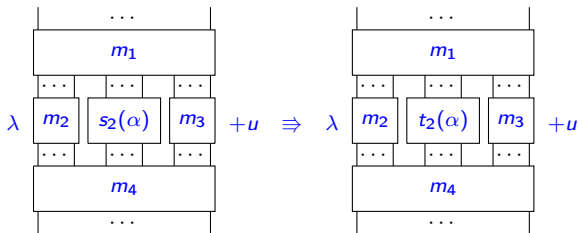
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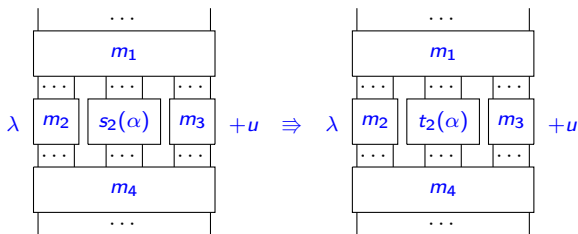
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where $\alpha \in P_3$, and the monomial $m_1 \star_1 (m_2 \star_0 s_2(\alpha) \star_0 m_3) \star_1 m_4$ does not appear in the monomial decomposition of u .

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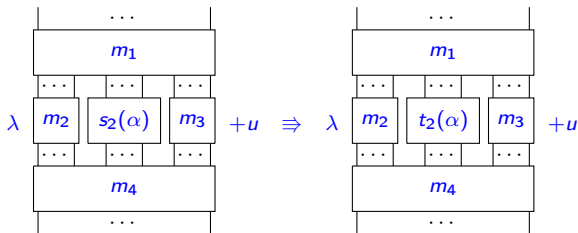


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- ▶ **Newman lemma**: A terminating linear $(3, 2)$ -polygraph is confluent if and only if it is locally confluent.
- ▶ **Critical pair lemma**: A **terminating** linear $(3, 2)$ -polygraph is locally confluent if and only if its critical branchings are confluent.

Critical pair lemma fails without termination

- ▶ Consider a linear rewriting system on generators x, y, z and rules $\alpha : xy \rightarrow xz$ and $\beta : zt \rightarrow 2yt$.

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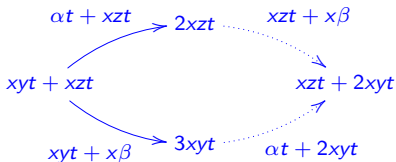
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$$\begin{array}{ccc} & \alpha t + xzt & \rightarrow 2xzt \\ & \nearrow & \\ xyt + xzt & & \\ & \searrow & \\ & xyt + x\beta & \rightarrow 3xyt \end{array}$$

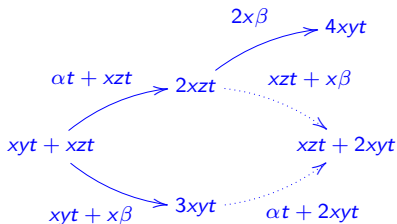
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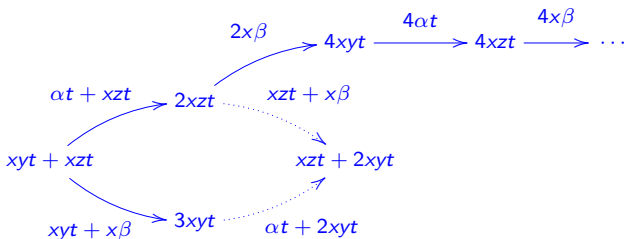
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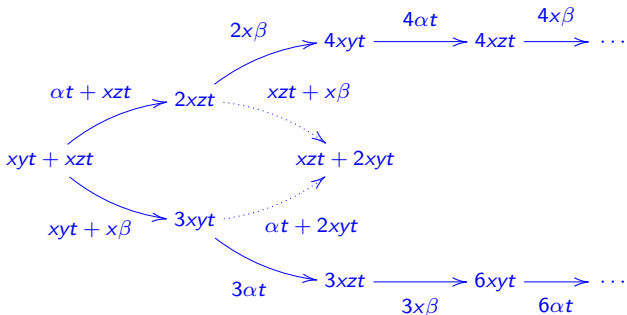
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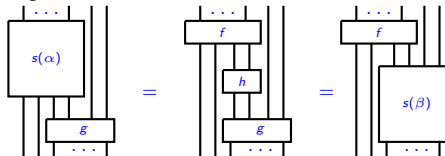
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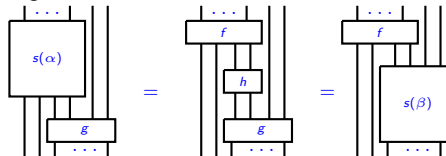
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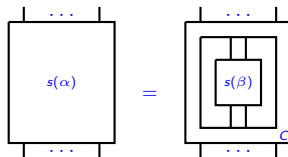
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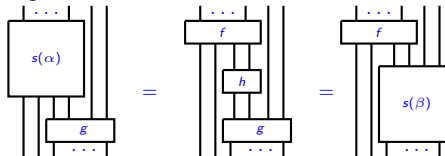
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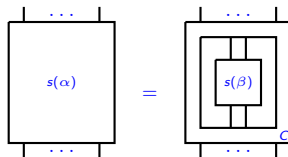
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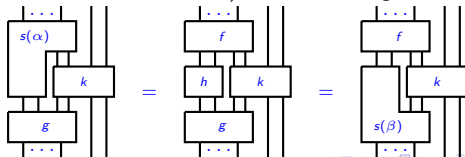
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 - ▶ Confluence: if a 2-cell reduces into two different linear combinations of monomials in normal form, they are equal by confluence and since P is left-monomial.

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 - ▶ **Example:** $\text{Seq}(2i + j) = \{iij, jji, jii\}$
- ▶ **Theorem [Khovanov-Lauda '08]:** If $R = \bigoplus_{\nu \in \mathbb{N}[I]} R(\nu)$,

$$K_0(R - \text{pmod}) \simeq \mathbf{U}_q^-(\mathfrak{g})$$

Presentation of the KLR algebras

- For $\mathbf{i} = i_1 \dots i_m \in \text{Seq}(\mathcal{V})$, generators

$$x_{k,\mathbf{i}} = \begin{array}{c} | \quad \dots \quad | \quad \dots \quad | \\ i_1 \quad \quad i_k \quad \quad i_m \end{array}$$

and $\tau_{k,\mathbf{i}} = \begin{array}{c} | \quad \quad \quad | \quad | \\ \quad \quad \quad \diagdown \quad \diagup \\ \quad \quad \quad \diagup \quad \diagdown \\ \quad \quad \quad | \quad | \\ i_1 \quad i_k \quad i_{k+1} \quad i_m \end{array}$

- Relations:

- i) For $i \in I$,

$$\begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \\ i \quad i \end{array} \Rightarrow 0$$

- ii) For $i, j \in I$ s.t. $i \cdot j = 0$,

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$$\begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \\ i \quad j \end{array} \Rightarrow \begin{array}{c} | \quad | \quad | \\ i \quad j \quad i \quad j \end{array} + \begin{array}{c} | \quad | \quad | \\ i \quad j \quad i \quad j \end{array}$$

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- vi) For $i, j, k \in I$, unless $i = k$ and $i \cdot j = -1$,

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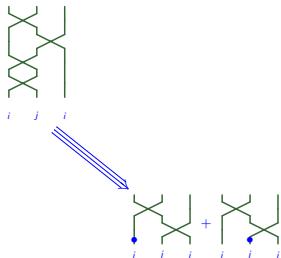
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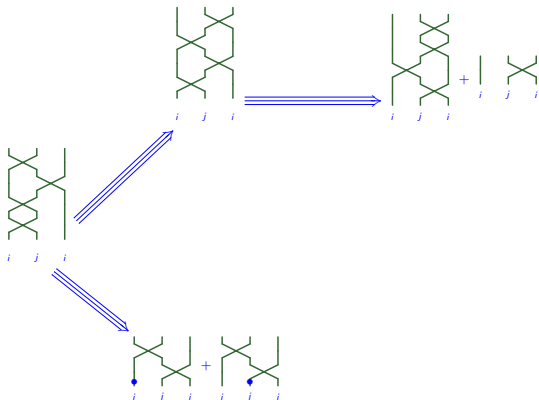
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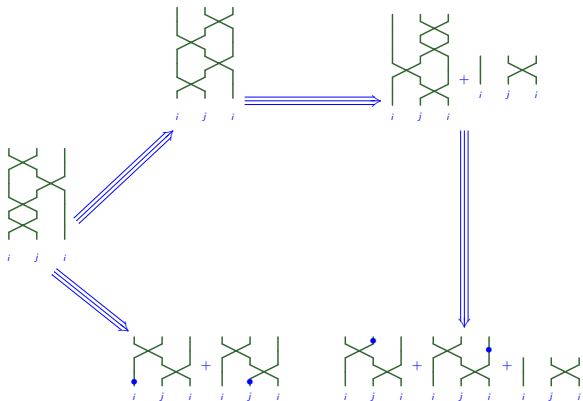
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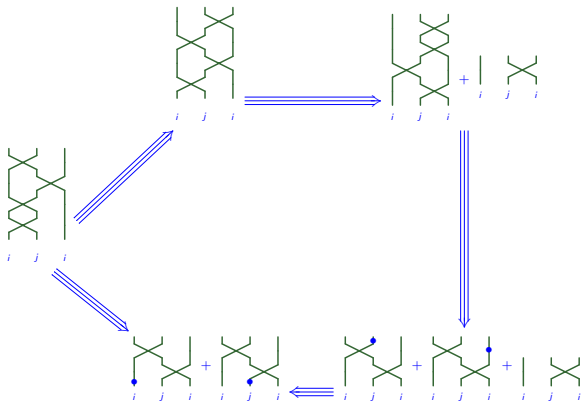
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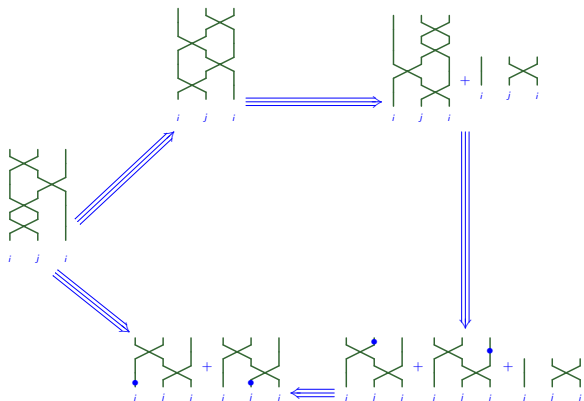
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► **Corollary:** Diagrams corresponding to minimal permutations in the Coxeter presentation of the symmetric groups and dots placed at the bottom of each strand give bases of these algebras.

IV. Extension to rewriting modulo

Rewriting modulo

- ▶ Some structural relations may make the analysis of confluence difficult.
 - ▶ **Example:** Adjunction relations in pivotal linear 2-categories. If p is a 1-cell, a left-adjoint of p is a 1-cell \hat{p} such that there are 2-cells

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Rewriting modulo

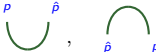
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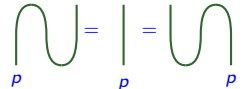
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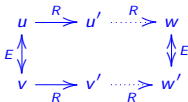
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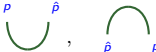
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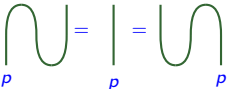
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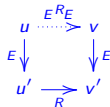
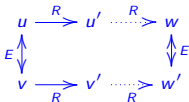
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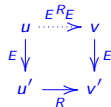
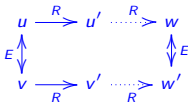
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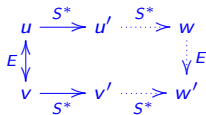


- Rewriting with R on E -equivalence classes:

- Rewriting system modulo:** (R, E, S) such that $R \subseteq S \subseteq ERE$, **Jouannaud-Kirchner '84**.

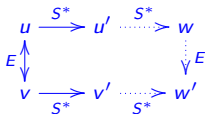
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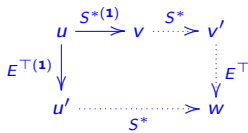
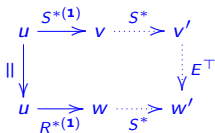


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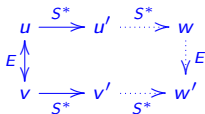
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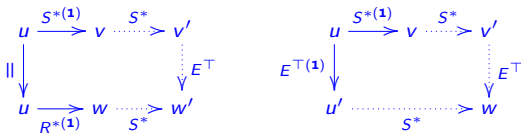
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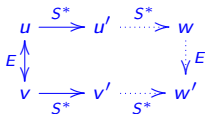


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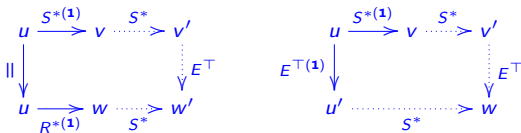
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Then, for all parallel 1-cells p and q , the set of monomials in the E -normal forms of monomials in normal form for S gives a basis of $\mathcal{C}_2(p, q)$.

Example: The 2-category $\mathcal{KLR}(\mathfrak{sl}_2)$

- ▶ Let \mathcal{KLR} be the linear 2-category defined by:

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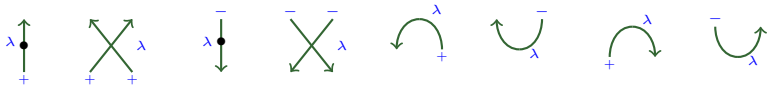
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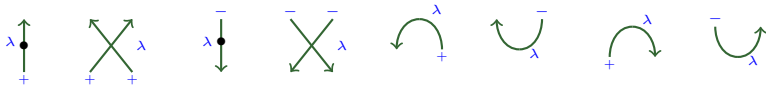
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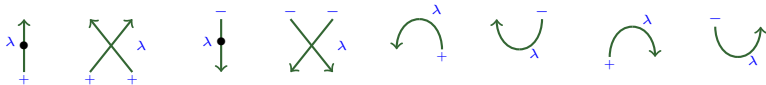
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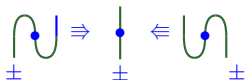
- ▶ subject to the following relations:
 - ▶ KLR algebras relations for both orientations.
 - ▶ Bubble relations:

$$n \circlearrowleft \lambda \Rightarrow \begin{cases} 1_{\lambda} & \text{if } n = h-1 \\ 0 & \text{if } n < h-1 \end{cases} ; \quad \lambda \circlearrowright n \Rightarrow \begin{cases} 1_{\lambda} & \text{if } n = -h-1 \\ 0 & \text{if } n < -h-1 \end{cases}$$

$$h-1+\alpha \circlearrowleft \lambda \Rightarrow - \sum_{l=1}^{\alpha} h-1+\alpha-l \circlearrowleft \lambda \circlearrowright h-1+l \quad \text{for all } \lambda \in X \text{ and } \alpha > 0$$

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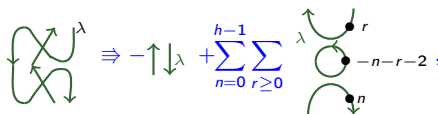
► Isotopy relations:

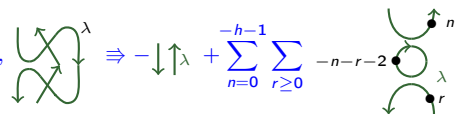


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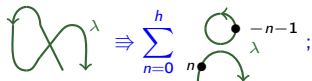
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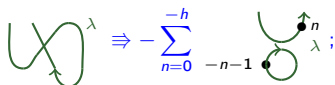


$$\Rightarrow -\downarrow\uparrow\lambda + \sum_{n=0}^{h-1} \sum_{r \geq 0} \lambda \begin{array}{c} \bullet r \\ \text{---} \\ \bullet \\ \text{---} \\ \bullet n \end{array} -n-r-2$$


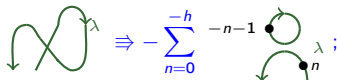
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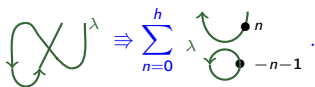
$$\Rightarrow \sum_{n=0}^h \begin{array}{c} \bullet \\ \text{---} \\ \bullet \\ \text{---} \\ \bullet \end{array} -n-1 \lambda$$



$$\Rightarrow -\sum_{n=0}^{-h} -n-1 \begin{array}{c} \bullet n \\ \text{---} \\ \bullet \\ \text{---} \\ \bullet \end{array} \lambda$$



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► Bubble slide relations.